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**Méthode de l'IECEE d'Acceptation Mutuelle de  
Certificats d'Essai des Equipements et  
Composants Electrotechniques (Méthode OC) –**

**Règles de Procédure**

**Scheme of the IECEE for Mutual Recognition of  
Test Certificates for Electrotechnical Equipment  
and Components (CB Scheme) –**

**Rules of Procedure**

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## INTERNATIONAL ELECTROTECHNICAL COMMISSION

**IEC SYSTEM OF CONFORMITY ASSESSMENT SCHEMES FOR  
ELECTROTECHNICAL EQUIPMENT AND COMPONENTS (IECEE)****IECEE CB SCHEME FOR MUTUAL RECOGNITION OF TEST CERTIFICATES  
FOR ELECTROTECHNICAL EQUIPMENT AND COMPONENTS  
(CB SCHEME)****RULES OF PROCEDURE**

## FOREWORD

This publication governs the Certification Body Scheme of the IECEE for testing and certification of electrotechnical equipment and components which includes appliances, systems, industrial and household equipment and sub-assemblies (CB Scheme).

It cancels and replaces Publication IECEE 02, Twelfth edition, 2008.

Changes from the second edition mainly concern the slimming-down of the organizational structure, whereby the former Management Committee (MC) and Committee of Certification Bodies (CCB) are replaced by one committee, namely the Certification Management Committee (CMC).

This publication is directly related to Publication IECEE 01 containing the Basic Rules of the IECEE.

The annexe to this publication is normative.

The text of the amendments of this edition (IECEE 02, Thirteenth edition 2008) is based on the following Document.

Document	Report on voting
IECEE-CMC/1022/RM	CMC Decision

Full information on the approval of this publication can be found in the Minutes of the CMC meeting held in Stockholm on June 24/25, 2009.

## INTRODUCTION

NOTE This introduction provides an overview of the CB Scheme and is not part of the Rules.

In recognition of the need to facilitate international trade in electrotechnical equipment, and components primarily intended for use in homes, offices, workshops healthcare facilities and similar locations, for benefit of consumers, industries, authorities etc, and to provide convenience for manufacturers and other users of the services provided by various *National Certification Bodies (NCBs)*, an international Scheme is operated by the IECEE (IEC System for Conformity Testing and Certification of Electrotechnical Equipment and Components), known as the CB Scheme. The Scheme is based on the principle of mutual recognition (reciprocal acceptance) by its members of test results for obtaining certification or *approval* at national level.

The Scheme is intended to reduce obstacles to international trade which arise from having to meet different national certification or approval criteria. Participation of the various NCBs within the Scheme is intended to facilitate certification or approval according to IEC standards. Where national standards are not yet completely based on IEC standards, declared *national differences* will be taken into account; however, successful operation of the Scheme presupposes that national standards are reasonably harmonized with the corresponding IEC standards. Use of the Scheme to its fullest extent will promote the exchange of information necessary in assisting manufacturers around the world to obtain certification or approval at national level.

The operating units of the Scheme are the NCBs accepted according to these Rules. Those NCBs employ testing laboratories also accepted according to the Rules, known as *CB Testing Laboratories (CBTLs)*. A list of NCBs is published in the CB Bulletin.

The CB Scheme is based on the use of CB Test Certificates which provide evidence that representative specimens of the product have successfully passed tests to show compliance with the requirements of the relevant IEC standard. A supplementary report providing evidence of compliance with declared national differences in order to obtain national certification or approval may also be attached to the CB Test Report.

The first step for an NCB, intending to operate in the CB Scheme, is to be accepted as a *Recognizing NCB*. Such an NCB is prepared to recognize CB Test Certificates as a basis for certification or approval at national level for one or more categories of products

The second step for an NCB, which can be taken at the same time as the first step, is to be accepted as an *Issuing and Recognizing NCB*. Such an NCB is entitled to issue CB Test Certificates for the categories of equipment for which it recognizes CB Test Certificates. It should, however, be noted that an NCB may recognize CB Test Certificates for more categories of equipment than for which it is entitled to issue CB Test Certificates.

The Rules are formulated in such a way as to make them applicable in different national certification structures.

Definitions are given in clause 3.

# IEC SYSTEM OF CONFORMITY ASSESSMENT SCHEMES FOR ELECTROTECHNICAL EQUIPMENT AND COMPONENTS (IECEE)

## IECEE CB SCHEME FOR MUTUAL RECOGNITION OF TEST CERTIFICATES FOR ELECTROTECHNICAL EQUIPMENT AND COMPONENTS

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### RULES OF PROCEDURE

#### 1 Scope

This publication contains the Rules of Procedure of the IECEE CB Scheme for Mutual Recognition of Test Certificates for Electrotechnical Equipment and Components (CB Scheme).

The CB Scheme is applicable to Electrotechnical Equipment and Components primarily intended for use in homes, offices, workshops, healthcare facilities and similar locations.

#### 2 Normative references

The following publications contain provisions which, through reference in this text, constitute provisions of these Rules of Procedure. At the time of publication, the editions indicated were valid. The IECEE Certification Management Committee (CMC) shall decide the timetable for the introduction of new publications or revised editions of existing publications.

IECEE 01: 2008, *IEC System of Conformity Assessment Schemes for Electrotechnical Equipment and Components (IECEE)*

ISO/IEC Guide 2: 2004, *Standardization and related activities - General vocabulary.*

ISO/IEC 17000: 2004 Conformity assessment - Vocabulary and general principles

ISO/IEC 17025: 2005, *General requirements for the competence of calibration and testing laboratories.*

ISO/IEC 17040: 2005 Conformity assessment - General requirements for peer assessment of conformity assessment bodies and accreditation

ISO/IEC Guide 65: 1996, *General requirements for bodies operating product certification systems.*

ISO/IEC Guide 67: 2004, Conformity assessment — Fundamentals of product certification